

Substitute for form 1449A/PT

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Sheet	1	of	1	Application Number	09/921,458
				Filing Date	August 3, 2001
				First Named Inventor	HONG, Jack
				Art Unit	2154
				Examiner Name	CLARK, ISAAC R.
				Attorney Docket Number	4366-40

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-kind Code ^{2/3 known}	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US-5,923,885	07-13-1999	Johnson, et al.	
	2.	US-6,772,333	08-03-2004	Brendel	
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² ; Number ³ ; Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner Initials*	Cite No. ¹	

Examiner Signature		Date Considered	5/31/06
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/921,458
Filing Date	August 3, 2001
First Named Inventor	HONG, Jack
Art Unit	2154
Examiner Name	CLARK, ISAAC R.
Attorney Docket Number	4366-40

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of

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Examiner Initials*	Cite No. ¹	Document Number Number-kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,304,973	01-16-2001	Williams	
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		US-			
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ²	Number ⁴ ; Kind Code ⁵ (if known)				
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner Initials*	Cite No. ¹	
	2	USPTO, Official Office Action date 07/15/2005, in U.S. Application Serial No. 09/921,832, filed 08/03/2001, 22 pages

Examiner
Signature

Date
Considered

8/31/06

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Substitute for form 1449A/P

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application Number	09/921,458
Filing Date	August 3, 2001
First Named Inventor	Jack L. Hong
Art Unit	2661
Examiner Name	
Attorney Docket Number	4366-40

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-kind Code ^{2/3/4}	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US-5,764,920	6-09-1998	Cook et al.	
	2.	US-5,825,772	10-20-1998	Dobbins et al.	
	3.	US-6,640,239 B1	10-28-2003	Gidwani	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Country Code ²	Number ³ ; Kind Code ⁴ (if known)				
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Examiner Signature		Date Considered	5/31/06
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 4366-40	SERIAL NO. 09/921,458
	APPLICANT HONG et al.	
	FILING DATE August 3, 2001	GROUP ART 2661

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
	AA	6,108,703	08/22/00	Leighton et al.	709	226	

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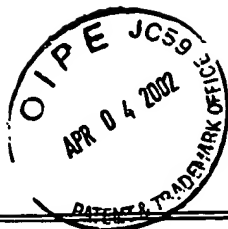
Technology Center 2600

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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SHEET 1 OF 1

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	AA	WO 01/69890 A1	09/2001	PCT				
	AB	PCT/US 01/24313		PCT International Search Report				

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	AC	Alteon Web Systems, VIRTUAL MATRIX ARCHITECTURE Scaling Web Services for Performance and Capacity, April 2000, 13 pages, http://www.alteonwebsystems.com
	AD	Apostolopoulos, G., et al. Design, Implementation and Performance for a Content-Based Switch, IEEE INFOCOM 2000, pgs. 1117-1126
	AE	Liebrmann, L. Load Balancing: Where the Action Is, Network Magazine.com, January 3, 2000, 7 pages. http://www.networkmagazine.com/article/NMG20000426S0014

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Sheet	1	of	1
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U.S. PATENT DOCUMENTS

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	1.	US 5,737,536	04-07-1998	Herrmann, et al.	

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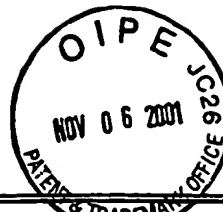
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ²	Number ² ; Kind Code ³ (if known)				
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Examiner Initials*	Cite No. ¹	
	2.	Office Action from the U.S. Patent and Trademark Office dated July 29, 2005 regarding Application No. 09/921,460 (Atty. Docket No. 4366-50)

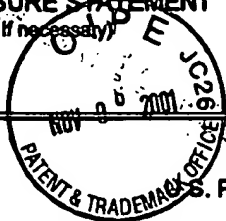
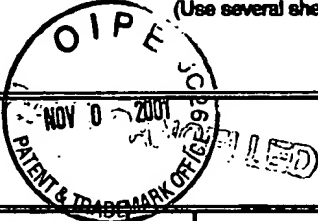
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SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 4368-40	SERIAL NO. 09/921,458
	APPLICANT HONG et al.	
	FILING DATE August 3, 2001	GROUP ART 2681



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO

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	AA	Cheng Wu, "What is Web Switching? Web Switching: A New Generation of Networking", http://www.arrowpoint.com/switch/what/what.num , 3 pages, October 1, 1999.
	AB	Cheng Wu, "What is Web Switching? Web Switching vs. L3/L4 Switching", http://www.arrowpoint.com/switch/what/what.num , 5 pages, October 1, 1999.
	AC	Product Matrix, http://www.arrowpoint.com/products/matrix/matrix.num , 2 pages, October 1, 1999.

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